Financial Review Documents Required

All information is strictly confidential

Azura
WEALTH ADVISERS LLC

NAME: DATE:

DOCUMENTS NEEDED *Please provide photocopies of the following documents rather than originals if possible.*

Complete Income Tax Returns (Past Two Years)

- [ ] Client
- [ ] Co-client

Liability Statements

- [ ] Mortgage Statements
- [ ] Auto Loan Statements
- [ ] Credit Card Statements
- [ ] Student Loan Statements

Investments and Retirement Statements and/or plan descriptions

- [ ] Bank Statements
- [ ] Securities Accounts
- [ ] IRA / Roth IRA / SEP IRA / SIMPLE IRA
- [ ] 401(k) / TSA / PEDC
- [ ] Pension / Profit Sharing Plan
- [ ] Dividend / Interest Statements
- [ ] 529 College Savings Plan
- [ ] Annuities

Employment Benefit Information

- [ ] 2 Most Recent Payroll Stubs
- [ ] Most Recent Social Security Statements
- [ ] Employee Benefit Statements/Booklets
- [ ] Employee Retirement Plan Booklets
- [ ] Employee Stock Ownership

Insurance Policies and/or Statements

- [ ] Life
- [ ] Medical
- [ ] Disability
- [ ] Long-term Care
- [ ] Auto and Home
- [ ] Liability
- [ ] Group Insurance

Estate Planning Documents

- [ ] Tax Returns - Gift, Trust, Estate Tax
- [ ] Wills/Codicils
- [ ] Trust Agreements
- [ ] Power of Attorney
- [ ] Health Care Directive

Business Documents

- [ ] Business Tax Returns *(S-Corp, C-Corp, LLC)*
- [ ] Buy-Sell Agreements
- [ ] Deferred Compensation Agreements
- [ ] Split Dollar Agreements
- [ ] Wage Continuation Agreements
- [ ] Employment / Consulting Agreements
- [ ] Other Employer Paid Benefits

PLANNING FOCUS: *What areas are most important for you to address in your financial plan?*

- [ ] Help with setting goals
- [ ] Analyzing cash flow (taxes, debt, giving, etc.)
- [ ] Organization and analysis of assets and liabilities
- [ ] Investment asset allocation
- [ ] Retirement Planning
- [ ] Protection Planning
- [ ] Estate planning (wills, trusts, etc.)
- [ ] Others

All information provided by the client and not verified by the representative.
Securities and investment advisory services offered through qualified registered representatives of MML Investors Services, LLC.
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